

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

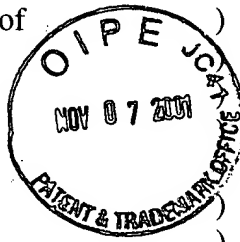
In re the Patent Application of

Koichiro KISHIMA

Application No. 09/940,469

Filed: August 29, 2001

For: OPTICAL HEAD AND OPTICAL PICKUP



Attn: Applications Branch

**RESPONSE TO NOTICE AND  
SUBMISSION OF EXECUTED DECLARATION**

Commissioner for Patents  
Washington, D.C. 20231

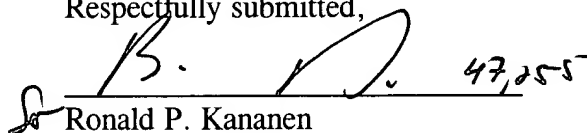
Sir:

In response to the Notice to File Missing Parts of Application mailed October 2, 2001 (copy of which is returned herewith), attached hereto is a Declaration and Power of Attorney, duly executed by the inventor, referring to the above-identified serial number and filing date in compliance with 37 CFR §1.116. Please charge Deposit Account No. 18-0013 in the amount of \$130.00 in payment of the surcharge for other than a small entity.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 18-0013. A duplicate copy of this letter is enclosed for that purpose.

Respectfully submitted,

Dated: November 7, 2001

  
\_\_\_\_\_  
Ronald P. Kananen  
Reg. No. 24,104

Rader, Fishman & Grauer PLLC  
Suite 501  
1233 20<sup>TH</sup> Street, N.W.  
Washington, D.C. 20036  
Telephone: (202) 955-3750  
Customer No. 23353



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/940,469         | 08/29/2001          | Koichiro Kishima      | SON-2218               |

23353  
RADER FISHMAN & GRAUER PLLC  
LION BUILDING  
1233 20TH STREET N.W., SUITE 501  
WASHINGTON, DC 20036



CONFIRMATION NO. 4902

FORMALITIES LETTER



\*OC000000006832166\*

Date Mailed: 10/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The oath or declaration is unsigned.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

*D. Wadly*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/08/2001 BNGUYEN1 00000081 180013 09940469

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